

IAP20 Rec'd PCT/PTO 21 APR 2006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

TERRY VICTOR CLAPP

Serial No.: Unknown

Filed: Concurrently Herewith

For: METHOD AND APPARATUS FOR
TRANSFORMING OPTICAL WAVE MODES

Group Art Unit: Unknown

Examiner: Unknown

Attorney Docket: 2143.000400/KDG
(SKG/G21945US)

CUSTOMER NO. 23720

INFORMATION DISCLOSURE STATEMENTCommissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

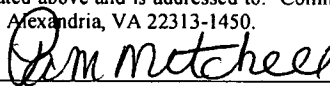
In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed foreign patent documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner. Because the filing date of the present application is after June 30, 2003, a copy of the listed U.S. patent application publication is not included.

EXPRESS MAIL MAILING LABEL

NUMBER: EV 511169615 US

DATE OF DEPOSIT: APRIL 21, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service with sufficient postage "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.



Pam Mitchell

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

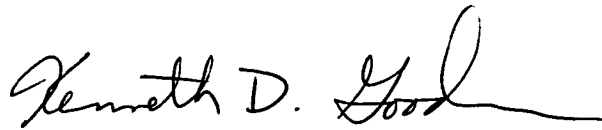
The present Information Disclosure Statement is being filed within three months of the filing date of this patent application or prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement; however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Director is hereby authorized to deduct said fees from Williams, Morgan & Amerson, P.C., Deposit Account No. 50-0786/2143.000400KG.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,

WILLIAMS, MORGAN & AMERSON, P.C.
CUSTOMER NO. 23720

April 21, 2006



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ATTORNEY FOR APPLICANT

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| Form PTO-1449 (modified) | | Atty. Docket No. 2143.000400/KDG | Serial No. Unknown |
| List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | Applicant Terry Victor Clapp | |
| | | Filing Date: Concurrently Herewith | Group: Unknown |
| U.S. Patent Documents See Page 1 | Foreign Patent Documents See Page 1 | Other Art See Page 1 | |

U.S. Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date | Name | Class | Sub Class | Filing Date of App. |
|-------------|-----------|-----------------|------------|-------|-------|-----------|---------------------|
| | | 2003/0039447 | 02/27/2003 | Clapp | 385 | 40 | 11/06/2001 |
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Foreign Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date | Country | Class | Sub Class | Translation Yes/No |
|-------------|-----------|-----------------|------------|---------|-------|-----------|--------------------|
| | | WO03/003420 | 01/09/2003 | PCT | | | |
| | | WO85/04521 | 10/10/1985 | PCT | | | |
| | | 2 306 694 | 05/07/1997 | UK | | | |
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Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

| Exam. Init. | Ref. Des. | Citation |
|-------------|-----------|--|
| | | PCT/GB2004/004420 International Search Report (January 27, 2005) |
| | | |

EXAMINER: /Mary El Shammaa/

DATE CONSIDERED: 05/02/2008

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

ALL REFERENCES INFORMATION DISCLOSURE STATEMENT PTO-1449 (MODIFIED)